

03/26/01
J1044 U.S. PTO

3-27-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket No. 69061.2

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

JC997 U.S. PTO
09/817826
03/26/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): Sheila D. Fox-Lovell

For (title): CUSTOMER REGISTRATION SYSTEM AND
CORRESPONDING METHOD OF USE

1. Type of Application

This new application is for a(n)

- ☒ Original (nonprovisional)
☐ Divisional
☐ Continuation
☐ Continuation-in-part (C-I-P)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date March 26, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number EL677105335US addressed to:

Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Lillian Pillitteri

{type or print name of person mailing paper}

Lillian Pillitteri

{signature of person mailing paper}

NOTE: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. (37 CFR 1.10(b))

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120 or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

- ☒ 14 page(s) of specification
- ☒ 4 page(s) of claims
- ☒ 1 page(s) of abstract
- ☒ 6 sheet(s) of drawings
- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) as DRAWING(S)." 37 C.F.R. 1.84(b).
- ☐ formal
- ☒ informal
- ☒ 3 pages of declaration and power of attorney
- ☐ other

4. Additional papers enclosed

- ☐ Amendment to claims
- ☐ Cancel in this application original claims _____ before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ Add the claims shown on the attachment amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
- ☐ Preliminary amendment
- ☐ Information Disclosure Statement (37 C.F.R. 1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 08B)

- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions for Representative
- ☐ Special Comments
- ☐ Other

5. Declaration or oath (including power of attorney)

- ☒ Enclosed
- ☒ Executed by:
 - ☒ inventor(s).
 - ☐ legal representative of inventor(s). 37 C.F.R. 1.42 or 1.43.
joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached
 - ☐ This is the petition required by 37 C.F.R. 1.47 and the statement required by 37 C.F.R. 1.47 is also attached. See item 13 below for fee.
- ☐ Not enclosed.

6. Inventorship Statement

- ☒ The inventorship for all the claims in this application are:
 - ☒ the same

OR

- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
 - ☐ is submitted.
 - ☐ will be submitted.

7. Language

☒ English

☐ Non-English

☐ The attached translation includes a statement that the translation is accurate. 37 C.F.R. 1.52(d).

8. Assignment

☐ An assignment of the invention to _____ is attached. A separate: "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or : FORM PTO 1595 is also attached.

☐ will follow.

9. Certified Copy(ies) of Application(s)

{country}	{appln. no.}	{filed}
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{country}	{appln. no.}	{filed}
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from which priority is claimed

☐ is (are) attached.

☐ will follow.

10. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

11. ☒ Applicant requests voluntary publication as soon as practicable and prior to eighteen months from earliest U.S. filing date.

12. Fee Calculation (37 CFR 1.16)

A. ☒ Regular Application

CLAIMS AS FILED					
	Number Filed		Number Extra	Rate	Basic Fee
					37 CFR 1.16(a)
					<u>\$710.00</u>
Total					
Claims (37 CFR 1.16(c))	14 - 20	= 0	X	\$ 18.00	00.00
Independent					
Claims (37 CFR 1.16(b))	2 - 3	= 0	X	\$ 80.00	000.00
Multiple dependent claims(s), if any (37 CFR 1.16(d))			+	\$270.00	

☐ Fee for Extra claims not being paid at this time. (37 CFR 1.16(d))

Filing Fee Calculation \$710.00

B. ☐ Design application
(\$320.00 - 37 CFR 1.16(f))

Filing Fee Calculation \$

C. ☐ Plant application
(\$490.00 - 37 CFR 1.16(g))

Filing Fee Calculation \$710.00

13. Small Entity Status

☒ Payment of small entity fee as assertion of entitlement to small entity status pursuant to 37 C.F.R. § 1.27(c)(3)

☐ Status as a small entity was claimed in prior application / ,
filed on , from which benefit is being claimed for
this application under:

35 U.S.C. ☐ 119(e),
☐ 120,
☐ 121,
☐ 365(c),

and which status as a small entity is still proper and desired.

☒ Fee Calculation (50% of A, B or C above) \$ 355.00

14. Request for International-Type Search (37 CFR 1.104(d))

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

15. Fee Payment Being Made At This Time

- ☐ Not enclosed
- ☐ No filing fee is to be paid at this time.
- ☒ Enclosed
- | | |
|---|-------------------------|
| <input checked="" type="checkbox"/> filing fee | \$ <u>355.00</u> |
| <input checked="" type="checkbox"/> Voluntary Publication | \$ <u>130.00</u> |
| <input type="checkbox"/> recording assignment
(\$40.00); 37 CFR 1.21(h) | \$ _____ |
| <input type="checkbox"/> petition fee for filing by other
than all the inventors or persons
on behalf of the inventor where
inventor refused to sign or
cannot be reached. (\$130.00;
37 CFR 1.47 and 1.17(h)) | \$ _____ |
| <input type="checkbox"/> for processing an application
with a specification in a non-
English language. (\$130.00;
37 CFR 1.52(d) and 1.17(k)) | \$ _____ |
| <input type="checkbox"/> processing and retention fee.
(\$130.00; 37 CFR 1.53(d) and
1.21(l)) | \$ _____ |
| <input type="checkbox"/> fee for international-type search
report. (\$40.00; 37 CFR 1.21(e)) | \$ _____ |
| TOTAL FEES ENCLOSED | \$ <u>485.00</u> |

16. Method of Payment of Fees

- ☒ Check(s) in the amount of \$ 485.00.
- ☐ Charge Account No. 08-2625 in the amount of \$ _____. A duplicate of this transmittal is attached.

17. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 08-2625. A duplicate of this transmittal is attached.

- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☐ 37 CFR 1.16(b),(c) and (d) (presentation of extra claims)
- ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☐ 37 CFR 1.17(a)(1)(5) (extension fees pursuant to § 1.136(a))
- ☐ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

18. Instructions as to Overpayment

- ☒ Credit Account No. 08-2625.
- ☐ Refund

Respectfully submitted,

HOLLAND & KNIGHT LLP



23573

PATENT TRADEMARK OFFICE

By

Donald S. Showalter
Reg. No. 33,033

Attorney Docket No. 69061.2

PATENT

ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

17. Relate Back

☐ Amend the specification by inserting, before the first line, the following sentence:

A. 35 USC § 119(e)

☒ This application claims the benefit of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

FILING DATE

60/201,484
/
/

May 3, 2000

B. 35 USC §§ 120, 121 and 365(c)

☐ This application is a

- ☐ Continuation
☐ Continuation-in-part
☐ Divisional

Of copending application(s)

- ☐ Application Serial Number _____ filed on _____
☐ International Application _____ filed on _____
_____ and which designated the U.S.
☐ The nonprovisional application designated above, namely application _____, filed _____ claims the benefit of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

FILING DATE

/
/
/

☐ Where more than one reference is made above, please combine all references into one sentence.

18. Relate Back – 35 USC § 119 Priority Claim for Prior Application

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in item 17B, in turn itself claim(s) foreign priority(ies) as follows:

Country	Appln. No.	Filed on
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The certified copy(ies) has (have)

- ☐ been filed on _____, in prior application ____/_____, which was filed on _____
- ☐ is (are) attached.

19. Maintenance of Copendency of Prior Application

- A. ☐ Extension of time in prior application.
☐ A petition, fee and response extends the term in the pending prior application until _____.

☐ A copy of the petition filed in prior application is attached.

- B. ☐ Conditional Petition for Extension of Time in Prior Application
☐ A conditional petition for extension of time is being filed in the pending prior application.

☐ A copy of the conditional petition filed in the prior application is attached.

20. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

- (a) ☒ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are

☒ the same.

☐ Less than those named in the prior application. It is requested that the following inventor(s) identified for the prior application be deleted:

- (b) ☐ This application discloses and claims additional disclosure by amendment and a new unexecuted declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are

☐ the same.

☐ The following additional inventor(s) have been added:

- (c) ☒ The inventorship for all the claims in this application are
- ☒ the same.
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made.
- ☐ is submitted.
- ☐ will be submitted.

21. Abandonment of Prior Application

- ☐ Please abandon the prior application at a time while the prior application is pending, or when the petition for extension of time or to revive in that application is granted, and when this application is granted a filing date, so as to make this application copending with said prior application.

22. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment

- ☐ There is provided herewith a Petition to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently)

23. Small Entity

- ☒ Applicant has established small entity status by the filing of a statement in parent application Serial No. 60/201,484 filed on May 3, 2000.
- ☐ A copy of the statement previously filed is included.

24. Notification In Parent Application of this Filing

- ☐ A notification of the filing of this
- ☐ continuation
- ☐ continuation-in-part
- ☐ divisional

is being filed in the parent application, from which this application claims priority under 35 USC § 120.